# BMJ Open KMBARC registry: protocol for a multicentre observational cohort study on non-cystic fibrosis bronchiectasis in Korea

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#### **ABSTRACT**

Introduction Despite the significant disease burden of bronchiectasis in Korea, no large-scale, representative prospective cohort studies have been conducted to evaluate the clinical characteristics of Korean patients with bronchiectasis, indicating an urgent need for cohort studies on bronchiectasis.

Methods and analysis The Korean Multicenter Bronchiectasis Audit and Research Collaboration (KMBARC) is a prospective, non-interventional observational cohort study on bronchiectasis in Korea. The inclusion criteria of this registry are as follows: (1) adult patients (aged ≥18 years) with or without respiratory symptoms (cough, chronic sputum and/or recurrent respiratory infection) and chest computed tomography revealing bronchiectasis affecting one or more lobes and (2) stable status at the time of registration; patients with bronchiectasis who were admitted for a respiratory aetiology can be enrolled at least 4 weeks after hospital discharge. The exclusion criteria are as follows: (1) bronchiectasis due to cystic fibrosis: (2) traction bronchiectasis associated with interstitial lung disease; (3) patients actively being treated for pneumonia, pulmonary tuberculosis or nontuberculous mycobacterial infection; (4) patients who are unable or unwilling to provide informed consent; and (5) pregnant patients. Although the KMBARC questionnaires for baseline and annual follow-up data are similar to the European Multicentre Bronchiectasis Audit and Research Collaboration questionnaires, KMBARC has distinctive features such as use of Bronchiectasis Health Questionnaires, measurement with fatigue and depression scales, blood tests, use of consensus definition of exacerbations and information on emergency room or hospitalisation.

We aim to recruit at least 1200 patients over the study period from more than 26 hospitals in South Korea. Patients will undergo a detailed baseline and yearly assessment for up to 5 years. The study objectives of the KMBARC registry are as follows: (1) uncovering the

# Strengths and limitations of this study

- ► This is the first prospective cohort study on patients with bronchiectasis in Korea.
- We will recruit and follow-up patients with bronchiectasis annually using a standardised protocol to improve the quality of data collection.
- Sharing similar case-reporting forms with European Multicentre Bronchiectasis Audit and Research Collaboration (EMBARC) will allow collaboration studies with EMBARC.
- Distinctive features of the Korean Multicenter Bronchiectasis Audit and Research Collaboration registry (KMBARC) will provide several novel findings of bronchiectasis, which might be difficult to be elucidated using other registries.
- This study is limited by a lack of collecting patient samples.

natural course of bronchiectasis; (2) aiding in establishing evidence-based bronchiectasis guidelines in Korea; and (3) encouraging and facilitating studies on bronchiectasis

Ethics and dissemination This study received necessary approval from the Institutional Review Boards of all participating institutions. The Asan Medical Center Institutional Review Board gave overall approval for the study. Results will be disseminated via peer-reviewed publications and conference presentations.

Trial registration number KCT0003088.

# INTRODUCTION

Bronchiectasis is a chronic respiratory disease characterised by irreversible bronchial dilatation. Patients with bronchiectasis often develop chronic respiratory



symptoms, including cough, purulent sputum, haemoptysis, dyspnoea and recurrent infection.<sup>1</sup> This disease is associated with substantial health-related burden, such as a substantially low quality of life,<sup>2</sup> increased healthcare costs,<sup>3</sup> increased frequency of hospitalisation and mortality; however, bronchiectasis has been neglected by pulmonologists and regarded as an 'orphan disease'.

A surge in interest in bronchiectasis has been noted with the initiation of international observational studies such as the European Multicentre Bronchiectasis Audit and Research Collaboration (EMBARC)<sup>4</sup> and the US Bronchiectasis Registry research.<sup>5</sup> While these cohort studies have unravelled several important aspects of bronchiectasis, such as epidemiology, factors leading to disease exacerbations, quality of life and mortality,<sup>5</sup> they lack inclusivity. Specifically, only few Asian patients have been included, and considering the prevalence and disease burden of bronchiectasis in these patients, which are more substantial than those in the Western population, there is an urgent need to evaluate the clinical characteristics, aetiologies, comorbidities and prognosis of this disease among Asian populations, including the Korean population. We have established the Korean Multicentre Bronchiectasis Audit and Research Collaboration (KMBARC) to: (1) evaluate the clinical characteristics and phenotypes of bronchiectasis in Korea; (2) promote clinical studies on bronchiectasis in Korea; and (3) improve patient care and education among Korean patients with bronchiectasis. The protocol for the KMBARC registry is described herein.

# METHODS AND ANALYSIS Study objectives

The objective of this study is to develop the KMBARC database with baseline and annual follow-up data for at least 5 years. The specific study objectives will be as follows:

- 1. Description of the clinical characteristics, including patient demographics, phenotype, aetiology, progression, treatment and prognosis, of Korean patients with bronchiectasis.
- 2. Evaluation of disease burden, including use of medication and medical resources, acute exacerbation, hospitalisation and mortality, in Korean patients with bronchiectasis.
- 3. Evaluation of the rare aetiology of bronchiectasis (eg, allergic bronchopulmonary aspergillosis, rheumatoid arthritis and tuberculosis).
- 4. Elucidation of risk factors associated with acute exacerbation and prognosis.

#### **Registry design**

The KMBARC registry is a multicentre, prospective, non-interventional, observational cohort study enrolling consecutive adult patients with non-cystic fibrosis bronchiectasis in Korea. The framework for the development of the KMBARC registry protocol and case-reporting forms is obtained from the EMBARC registry protocol

with permission of the EMBARC leadership. Therefore, the information in the KMBARC registry is very similar to that in the EMBARC registry with some modifications—information specific to the Korean bronchiectasis registry was included. Given the nature of observational studies, management of bronchiectasis has been performed at the discretion of attending physicians in each local hospital participating in the registry. Therefore, participating researchers were recommended to follow the most recently released European Respiratory Society guidelines for the management of adult bronchiectasis in their daily practice to synchronise and standardise the process prior to initiation of the study.

# **Study participants**

The inclusion criteria of this registry are as follows: (1) adult patients (aged ≥18 years) with or without respiratory symptoms (cough, chronic sputum and/or recurrent respiratory infection) and having chest computed tomography (CT) results demonstrating bronchiectasis affecting one or more lobes; (2) stable status at the time of registration; patients with bronchiectasis who were admitted due to respiratory aetiology can be enrolled at least 4 weeks after hospital discharge.

The exclusion criteria are as follows: (1) bronchiectasis due to cystic fibrosis; (2) traction bronchiectasis associated with interstitial lung disease; (3) patients actively being treated for pneumonia, pulmonary tuberculosis or non-tuberculous mycobacterial infection; (4) patients who are unable or unwilling to provide informed consent; and (5) pregnant patients.

#### Patient recruitment and follow-up

Patients will be identified and recruited at outpatient clinics in 26 specialist hospitals in Korea, which include the Asan Medical Center, Hanyang University Seoul Hospital, Hallym University Kangnam Sacred Heart Hospital, Gangneung Asan Hospital, Kangwon National University Hospital, Konkuk University Hospital, Gyeongsang National University Hospital, Hanyang University Guri Hospital, National Cancer Center, National Medical Center, Dong-a University Hospital, Seoul Metropolitan Government-Seoul National University Boramae Medical Center, CHA Bundang Medical Center, Seoul National University Bundang Hospital, Samsung Medical Center, Seoul National University Hospital, Catholic University Seoul St. Mary's Hospital, Catholic University St. Paul's Hospital, Soonchunhvang University Cheonan Hospital, Soonchunhyang University Bucheon Hospital, Yonsei University Severance Hospital, Ulsan University Hospital, Wonju Severance Christian Hospital, Jeju National University Hospital, Hallym University Chuncheon Sacred Heart Hospital and Inje University Haeundae Paik Hospital.

If there are other hospitals that want to participate in the KMBARC registry in the future, the number of hospitals can be increased. Patients will be followed up at least once a year. During the year, the frequency of outpatient



clinic visits will be determined at the discretion of the attending physicians.

#### **Data collection**

Data will be collected from three distinct fields as follows: (1) baseline data, (2) annual follow-up data and (3) data during hospitalisation. The KMBARC questionnaires for baseline and annual follow-up data are similar to those of EMBARC.<sup>4</sup>

Distinctive features of the KMBARC registry are as follows:

- Patients without daily respiratory symptoms will be included.
- 2. Bronchiectasis Health Questionnaires (BHQs) will be used to evaluate quality of life instead of using the 'Quality of Life–Bronchiectasis' questionnaire.
- 3. Baseline information of the Patient Health Questionnaire 9 (PHQ-9)<sup>7</sup> and the Fatigue Severity Score (FSS)<sup>8</sup> will be assessed to evaluate the status of depression and fatigue in patients with bronchiectasis, respectively.
- 4. Prebronchodilator and/or postbronchodilator spirometry will be measured to evaluate lung function.
- 5. Use of macrolides.
- 6. Patients' residential addresses will be collected to compare the clinical characteristics between patients with bronchiectasis who live in urban and rural areas.
- 7. Complete blood count, including white cell count (neutrophil, eosinophil, monocyte and so on), haemoglobin and platelet, erythrocyte sedimentation rate, C-reactive protein, total bilirubin, uric acid, blood urea nitrogen and creatinine, will be determined from collected blood samples.
- 8. A medical history of measles will be noted.
- 9. A consensus definition of acute exacerbations of bronchiectasis<sup>9</sup> will be used. Because our definition includes exacerbations requiring antibiotics and treatment modification other than antibiotics, we will collect information on antibiotic use during acute exacerbations.
- 10. Information on date of emergency room (ER) visit/hospitalisation, admission to intensive care unit, use of mechanical ventilator, aetiology of ER visit/hospitalisation (haemoptysis, pneumonia or other causes), development of arrhythmia (except for atrial tachycardia), bronchial embolisation, level of brain natriuretic peptide, respiratory virus (detected by a nucleic acid amplification test) and influenza A/B antigen (box 1) will be evaluated.

#### **Definition of exacerbation**

Acute exacerbations are defined as deterioration in ≥3 of the following symptoms for at least 48 hours: (1) cough; (2) sputum volume increase and/or consistent change; (3) sputum purulence; (4) dyspnoea and/or exercise intolerance; (5) fatigue and/or malaise; and (6) haemoptysis. Severe exacerbations are defined as exacerbations requiring an ER visit or hospitalisation. To

# Box 1 Clinical data collected at baseline, follow-up and exacerbations

#### Baseline

- Enrolment date.
- Age.
- Body mass index.
- Comorbidities.
- Pulmonary function tests.
- Modified Medical Research Council dyspnoea scale.
- Smoking history.
- Exacerbation history 1 year before study enrolment.
- Medical history and laboratory examination to determine the aetiology of bronchiectasis.
- Aetiology of bronchiectasis determined by attending physicians.
- Microbiological examination results.
- CT findings in bronchiectasis.
- Respiratory medications.
- Respiratory physiotherapy.
- ► Bronchiectasis Health Questionnaire (BHQ).
- ► Patient Health Questionnaire 9 (PHQ-9).
- Fatigue Severity Score (FSS).

#### Follow-up

- Follow-up date.
- Survival.
- Body mass index.
- Comorbidities.
- Smoking history.
- Exacerbations.
- Updated medical history and laboratory examination to determine the aetiology of bronchiectasis.
- Microbiological examination results.
- CT findings of patients with bronchiectasis.
- Respiratory medications.
- Respiratory physiotherapy.
- ► BHQ.

# **Exacerbations**

- Admission or emergency room visit date.
- Mechanical ventilator use.
- Intensive care unit admission.
- Arrhythmia.
- Laboratory examination results.
- Reasons for admission.
- Respiratory virus (detected by a nucleic acid amplification test) and influenza A/B antigen.
- Influenza antigen test.

identify acute exacerbations that require antibiotics, we will collect information on the use of antibiotics in the events of acute exacerbations.

#### Sputum assessment

Sputum colour and volume (mL/day) in patients with stable bronchiectasis will be assessed. Sputum colour is evaluated using a validated, photographic sputum colour chart—the colour is rated as 1 (mucoid), 2 (mucopurulent), 3 (purulent) or 4 (severely purulent). Patient sputum volume will be estimated based on reference



volumes of  $50\,\mathrm{mL}$  with a small glass and  $200\,\mathrm{mL}$  with a cup.

### **Aetiology of bronchiectasis**

The attending physicians will determine the aetiology of bronchiectasis based on test results and questionnaires. The aetiologies include idiopathic, postinfectious, posttuberculosis, allergic bronchopulmonary aspergillosis, rheumatoid arthritis, connective tissue disease, inflammatory bowel disease, aspiration, gastro-oesophageal reflux disease, non-tuberculous mycobacterium pulmonary disease, chronic obstructive pulmonary disease (COPD), asthma, primary ciliary dyskinesia, Kartagener's syndrome, Young's syndrome, α-1 antitrypsin deficiency, common variable immunodeficiency, X-linked agammaglobulinaemia, immunoglobulin A deficiency, immunoglobulin G subclass deficiency, specific antibody deficiency, human immunodeficiency virus infection, Williams-Campbell syndrome, Marfan syndrome, Mounier-Kuhn syndrome, yellow nail syndrome, human T-lymphotropic virus type 1 infection, infant chronic lung disease, mechanical ventilation in newborn infant and pink disease. Physicians can choose multiple aetiologies and determine a specific aetiology other than the above suggested aetiologies (eg, diffuse panbronchiolitis).

# **Lung function**

Prebronchodilator and/or postbronchodilator spirometry will be performed according to American Thoracic Society/European criteria. Absolute values of forced expiratory volume in 1s (FEV<sub>1</sub>) and forced vital capacity (FVC) will be recorded, and the percentage of predicted values for FEV<sub>1</sub> and FVC will be calculated using an automatic calculator using a reference equation obtained in a representative Korean sample. In addition to prebronchodilator and/or postbronchodilator spirometry, lung function tests results, including those of total lung capacity, diffusing capacity, residual volume or inspiratory capacity, are collected if available.

#### **Severity of bronchiectasis**

The severity of bronchiectasis is evaluated using the bronchiectasis severity index calculated by an automatic calculator incorporated in the database<sup>14</sup> and based on essential variables.

### **Comorbidities**

Regarding pulmonary comorbidities, the presence of physician-diagnosed asthma, COPD, nasal polyp and chronic sinusitis will be assessed. Extrapulmonary comorbidities such as cardiovascular diseases, metabolic diseases, chronic liver diseases, osteoporosis, depressive disorder, anxiety disorder, chronic kidney diseases and malignancy will also be assessed.

### **Microbiology**

The microbiological profiles of the spontaneous sputum specimen and lower respiratory tract specimen (induced sputum, bronchoalveolar lavage or nasopharyngeal swab) will be determined; sputum cultures from clinically stable patients and those with acute exacerbation of the disease will be analysed according to standard methods. <sup>15</sup> *Pseudomonas aeruginosa* is significantly associated with morbidity and mortality in bronchiectasis <sup>16</sup> <sup>17</sup>; therefore, specific data on *P. aeruginosa* such as the timing of growth; strain type (mucoid, nonmucoid or unknown); and the use of oral, intravenous or inhaled antibiotics for eradication will be obtained. In addition, the growth of *Mycobacterium tuberculosis* and non-tuberculous mycobacterium will be determined.

# Radiology

The severity of dilatation and the number of involved lobes will be measured to evaluate the severity of bronchiectasis using the modified Reiff score, which has been shown to be correlated with bronchiectasis-related hospital admissions and mortality. Attending physicians will determine radiological severity (no bronchiectasis, tubular, varicose, cystic or unknown) in each lobe (right upper, right middle, right lower, upper division of left upper, lingular division of left upper and left lower). Unknown severity indicates that the attending physicians confirmed the presence of bronchiectasis on the basis of a radiologist's formal reading, but the attending physician cannot determine the severity because of unavailability of chest CT recordings.

#### **Treatment**

We will assess whether patients with bronchiectasis receive regular respiratory treatment comprising the following:

- Respiratory medications: long-acting muscarinic antagonist (LAMA), inhaled corticosteroid (ICS), long-acting β₂ agonist (LABA), ICS/LABA, LAMA/LABA, intravenous immunoglobulin, itraconazole, leukotriene receptor antagonist, long-term (≥28 days) use of oral steroid, monoclonal antibody, mucoactive drug or nebulised bronchodilator.
- 2. Antibiotics: inhaled antibiotics, long-term (≥28 days) use of oral antibiotics or systemic antibiotics.
- 3. Physiotherapy or mucoactive treatment, including nebulised saline, nebulised hypertonic saline, sodium hyaluronate, nebulised mannitol or DNase.

Data on the use of long-term home oxygen therapy, non-invasive ventilation or oral theophylline will be collected.

# **Quality control and grading system**

Automated logic checks are incorporated to prevent outof-range values being entered or to provide feedback warnings to users when data are out of range. Each case entered into the registry is manually verified by study team members, and data queries are resolved with members at the study site.

To maintain the highest quality of data, we will use a grading system wherein all clinical variables are categorised into essential (10 variables), important (125 variables) and less important (28 variables) categories. Based on the ratio of filled up data to each category, the quality

of each case data will be graded A, B, C or D. Study team members will be encouraged to enter essential as well as important variables to improve the quality of data according to the grading system. Cases with missing data for essential variables (or unresolved queries) or those classified as D will be rejected to retain only high-quality data in the registry.

## Sample size

To calculate the sample size, we assumed a proportional hazard model for the development of the first exacerbation with the following assumptions: (1) patients with a major phenotype of bronchiectasis account for 20% of all study patients; (2) patients with a major phenotype have a relative hazard of exacerbation value of 0.80; (3) alpha error is 0.05 and beta error is 0.20; (4) average exacerbation rate is 1.5 events per year; and (5) average duration of follow-up is 3 years with censoring rate of 0.30. Based on these assumptions, the total calculated sample size was 1200 patients, and the sample size for the group of patients with the major phenotype was 240 patients. We set a target of 1200 patients to be enrolled by May 2020. A short-term target of 400 patients in the first year of the project (up to August 2019) has been set for the analysis of baseline characteristics. To evaluate bronchiectasis in Korean patients, we aim to include patients from 26 Korean hospitals.

#### Governance, oversight and data sharing

The registry collects data using Electronic Data Capture solution, a web-based data management system that shares data through a web browser. The study data are held securely on the server of the Internet Data Center, which has a firewall system to ensure physical and technical data security. Anonymised data will be accessible to researchers in Korea, and investigators can access data without restrictions. However, complete data analysis requires submission of a research proposal to the KMBARC Scientific Committee. Access will be granted by the KMBARC scientific committee after approval of the proposed research. Although open data sharing is the basic principle of the KMBARC registry, unreasonable request for the data (eg, commercial purposes) can be rejected by the scientific committee.

# **Patient involvement**

Patients were not involved in setting the research question, the outcome measures, the design or implementation of the study. Patients will not be involved in the recruitment to and conduct of the study. We plan to translate the results into short, easy-to-read summaries and to disseminate it to the relevant patient community through local media.

#### **ETHICS AND DISSEMINATION**

The study is performed in accordance with the principles of Good Clinical Practice. This study protocol was approved by the Institutional Review Board at each site (refer to online supplementary file 1 for the list). The Asan Medical Center Institutional Review Board gave overall approval for the study. Written informed consent should be obtained from all patients. The KMBARC study group will follow the recommendations regarding authorship provided by the International Committee of Medical Journal Editors. Results will be disseminated via peerreviewed publications and conference presentations.

#### DISCUSSION

The KMBARC registry is the first prospective multicentre cohort study to evaluate the clinical characteristics, natural course and prognosis of bronchiectasis in Korea. Through a comprehensive evaluation of patients with bronchiectasis in Korea, we expect the KMBARC registry will generate hypotheses to solve research questions in bronchiectasis and information to enable physicians assess aetiology and manage patients with bronchiectasis in real-world settings. From this perspective, the KMBARC registry will pave the way for improvements in bronchiectasis management and research collaboration.

Despite the lack of epidemiological data in Korea, epidemiological studies have demonstrated that bronchiectasis poses a significant burden on public health, including increased healthcare costs, hospital admission and mortality. <sup>20–22</sup> Therefore, we conducted a nationwide database study on the prevalence of bronchiectasis in Korea.<sup>6</sup> Our study demonstrated that the prevalence of non-cystic fibrosis bronchiectasis was 464 cases per 100 000 population, which was strikingly higher than that reported in Germany (67 cases per 100 000 population) and the USA (138 cases per 100000 population).<sup>23 24</sup> Despite the higher disease burden of bronchiectasis in Korea than that in Western counties, there is a lack of data on baseline characteristics (aetiology, clinical presentation, quality of life, treatment modality and exacerbation rates) of bronchiectasis in Korea. Previous studies have suggested that idiopathic aetiology and respiratory infections, including pulmonary tuberculosis, are the leading causes of bronchiectasis in Asian patients. 25–29 Other than the causes of bronchiectasis, few studies have investigated the baseline characteristics of Korean patients with bronchiectasis, which will likely be revealed through analysis of the KMBARC registry data. From this perspective, we acknowledge the recently published study by Dhar et al,<sup>30</sup> which investigates the differences between patients with bronchiectasis in India and those in Europe. Compared with European patients, Indian patients showed a high frequency of severe, cystic bronchiectasis. Tuberculosis and other severe infections were the most frequently reported conditions as underlying causes of bronchiectasis. In line with this, we believe that the KMBARC registry will add more evidence to the Asian phenotypes of bronchiectasis. In addition, a collaboratory study on participants of the KMBARC registry and Indian participants of the EMBARC registry would provide valuable



information about clinical differences in region and race among Asian patients with bronchiectasis.

One of the most important advantages of the cohort study is that it allows long-term follow-up of patients to monitor disease progression and track treatment response and prognosis (acute exacerbation, hospitalisation and mortality). However, in contrast to other respiratory diseases such as COPD, 31-36 the number of cohort studies on bronchiectasis with large sample size is limited, especially in Asian countries. Thus, through prospective cohort studies, the KMBARC registry will provide in-depth information on the natural course of specific phenotypes of bronchiectasis. The registry will also provide valuable longitudinal data regarding acute exacerbation and hospitalisation. A potential benefit of the KMBARC registry is that it may encourage and facilitate studies on bronchiectasis in Korea (eg, translational research and randomised controlled trial beyond cohort study). We also expect that the KMBARC registry will be helpful to identify hospitals that are most likely to contribute to clinical trials.<sup>37</sup>

The lack of a well-established bronchiectasis cohort has also led to our overall ignorance of real-world practice in the diagnosis, treatment and prognosis of bronchiectasis in the Korean population. It is expected that the KMBARC registry will help identify common diagnostic approaches and treatment modalities among Korean patients with bronchiectasis, enable the establishment of strategies to diagnose and treat based on evidence-based medicine for Korean patients with bronchiectasis and improve the quality of real-world daily practice.

Because the KMBARC registry is based on the framework of the EMBARC protocol, collaborative studies involving the two may help unravel racial differences in the aetiologies and phenotypes of bronchiectasis as well as bronchiectasis comorbidities. There is reason to suspect racial and ethnic differences in many aspects of bronchiectasis since we have demonstrated lower prevalence of COPD and cardiovascular diseases in the Korean population than in the Western population. However, the reasons for these ethnic differences remain unclear due to insufficient information contained in the national health insurance database.

In addition to the shared framework of the EMBARC, the KMBARC has many distinctive features. First, this study enrols patients with bronchiectasis without daily respiratory symptoms, which may have a potential advantage over the EMBARC, including only symptomatic patients with bronchiectasis. Second, additional guestionnaires such as PHQ-9 and FSS may unveil in-depth information on depression and fatigue in those with bronchiectasis. Third, the KMBARC has postbronchodilator spirometry results, which may provide useful information on the role of inhalers in those with bronchiectasis. It has been suggested that positive bronchodilator response can predict responsiveness to long-term bronchodilator therapy in patients with bronchiectasis.<sup>39</sup> Fourth, the KMBARC has laboratory examination results such as neutrophil and eosinophil count, total bilirubin,

uric acid, erythrocyte sedimentation rate and C-reactive protein. The analysis of clinical characteristics, exacerbation history and radiological findings along with the blood test results may give us an insight into the phenotypes of bronchiectasis.

In conclusion, the KMBARC aims to recruit 1200 patients from more than 26 hospitals in South Korea by May 2020. Our cohort will provide information on phenotype, natural course, aetiology, exacerbation and prognosis of bronchiectasis. Furthermore, the KMBARC will facilitate research collaboration and meaningful studies of bronchiectasis and generate enough data to support the establishment of evidence-based clinical practice guidelines for bronchiectasis in Korea.

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