

# Clinical relevance of Lgr5 expression in colorectal cancer patients

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**Purpose:** Lgr5 is a well-known stem cell marker in colorectal cancer (CRC). This retrospective study evaluated the expressions of Lgr5 in CRC specimens, and examined whether these expressions were associated with survival outcomes.

**Methods:** We used immunohistochemistry to retrospectively examine expressions of Lgr5 in paraffin-embedded specimens from 337 patients with CRC between January 2009 and December 2013. All clinicopathologic data were collected by retrospective review based on medical records. The correlation between its expression and clinicopathological data as well as clinical outcomes of patients was analyzed.

**Results:** Low expression and high expression of Lgr5 in 337 patients were 175 (51.9%) and 162 (48.1%), respectively. There was no statistically significant difference in the association of Lgr5 expression with clinicopathologic factors (age, tumor location, lymphatic invasion, vascular invasion, perineural invasion, TNM stage, and differentiation). In the survival analysis, the high expression group of Lgr5 showed a better prognosis than the low expression group in disease-free survival ( $P=0.044$ ). However, overall survival was not significantly different between the two groups ( $P=0.087$ ). In multivariate analysis, we found that high expression of Lgr5 was independent prognostic factor for tumor relapse (hazard ratio, 0.601; 95% confidence interval, 0.388–0.929;  $P=0.022$ ).

**Conclusion:** In present study, high expression of Lgr5 is an independent predictor of favorable prognosis in patients with CRC. So, further well designed, prospective, large scale studies are needed to examine the value of Lgr5 as a prognostic biomarker for CRC.

**Keywords:** Lgr5, Cancer stem cell, Colorectal neoplasms, Immunohistochemistry, Biomarker

## INTRODUCTION

Colorectal cancer (CRC) is the third most common cancer worldwide, and the third leading cause of cancer death in the Republic of Korea [1,2]. Currently, the treatment of CRC includes surgical resection, adjuvant chemotherapy, radiation therapy, and target therapy, which has recently been used in metastatic CRC. Despite

improved survival rates of more than 20% in the last 20 years owing to these advanced therapies, many patients still experience recurrence, and the overall survival rate is only 65% to 75% [3,4]. Therefore, it is quite urgent and important to find effective treatments and novel prognostic biomarkers.

Cancer stem cells (CSCs) constitute a small subpopulation of tumor cells that have self-renewing and differentiation abilities, which are properties of normal stem cells. This subpopulation is known to play a crucial role in tumor initiation, metastasis, relapse, and resistance to chemoradiotherapy [5]. In the past, characterizing such CSCs and finding stem cell markers were difficult problems. However, many studies have identified various CSC biomarkers through lineage tracing or organoid culture, and their expression levels and clinical relevance have been reported.

The adenoma-carcinoma sequence, known as the mechanism of tumorigenesis in sporadic CRC, is caused by changes in specific genes. These genetic changes result in mutated adenomatous polyposis coli (APC) or  $\beta$ -catenin genes in the Wnt pathway, and are known to play an important role in colorectal tumorigenesis [6,7].

Leucine-rich repeat-containing G protein-coupled receptor 5 (Lgr5), a putative stem cell marker in the small intestine and colon, is

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a member of the G protein-coupled receptor (GPCR) family and regulates Wnt signaling to R-spondin receptors [8]. Currently, Lgr5 is the most reliable colorectal CSC marker based on various studies [9].

Overexpression of Lgr5 protein is also reported in hepatocellular cancer, ovarian cancer, basal cell carcinoma, and esophageal cancer. Previous studies have shown that Lgr5 overexpression in CRC is associated with resistance to chemoradiotherapy, recurrence, and metastasis. Thus, Lgr5 expression may be associated with poor prognosis in CRC, although recent studies found no association with prognosis [10]. Further research is needed to clarify these conflicting results.

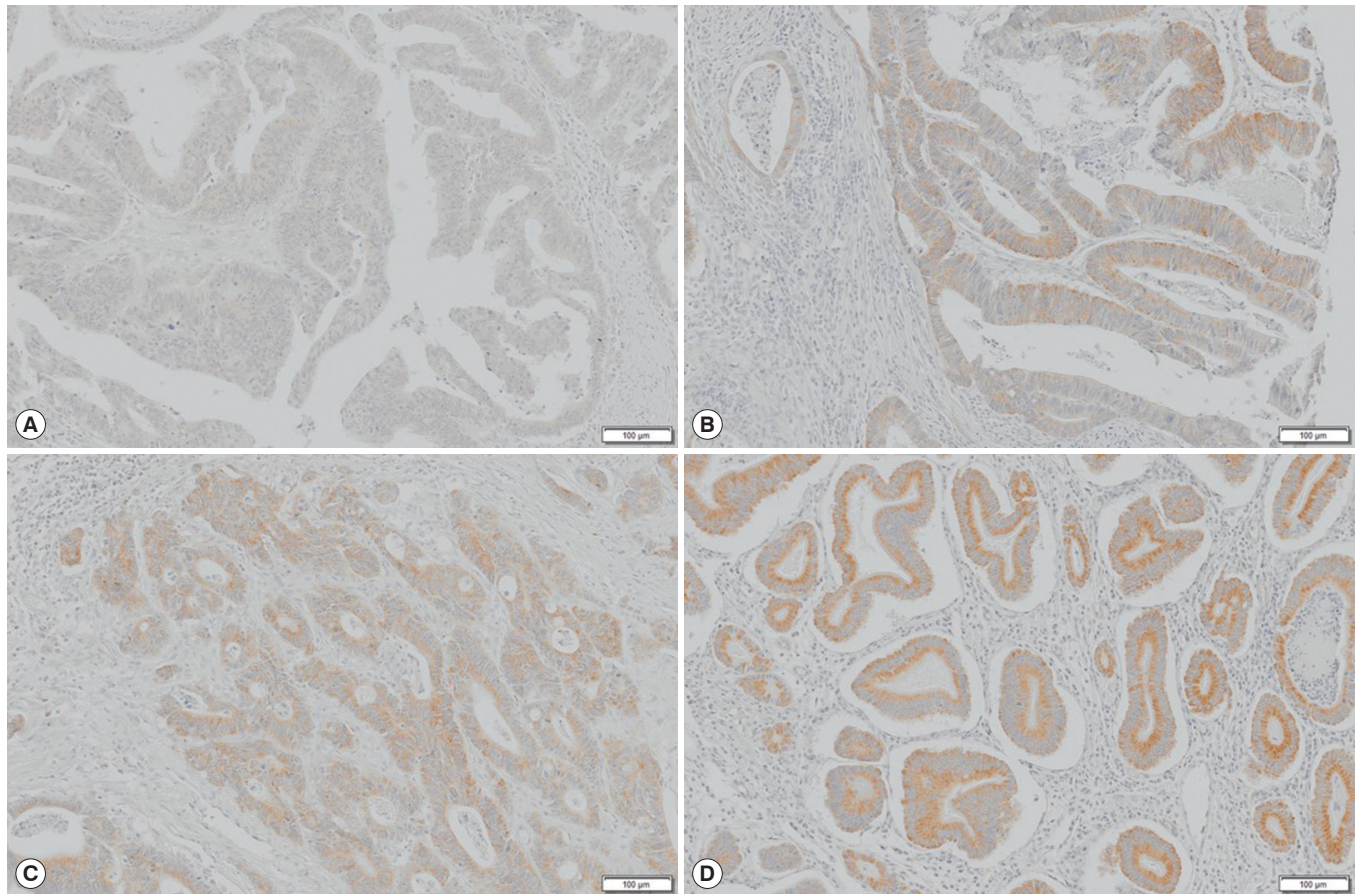
Therefore, we investigated the clinical relevance of Lgr5 expression levels, a putative CSC marker, in CRC patients using immunohistochemical staining and medical records.

## METHODS

### Patients and tissue specimens

From January 2009 to December 2013, 417 patients diagnosed with

CRC who underwent complete surgical resection at Soonchunhyang University Cheonan Hospital were enrolled. All specimens were obtained from surgically resected tumors, and the formalin-fixed paraffin-embedded (FFPE) blocks stored in the department of pathology were used. Patients under 18 years of age, who underwent preoperative chemotherapy or radiotherapy, who had unsatisfactory medical records, or whose samples could not be used in immunohistochemistry (IHC) owing to poor preservation of the corresponding FFPE block were excluded. Based on these exclusion criteria, a total of 337 patients were selected for this study. No patient died within 30 days of surgery. All clinicopathological data were collected by retrospective review based on medical records. The survival period was confirmed directly by telephone with the patient or caregiver and used for analysis. Tumor stage was defined according to the TNM classification of the American Joint Committee on International Union against Cancer, 7th edition. The Institutional Review Board of the Soonchunhyang University Cheonan Hospital approved the study (SCHCA NON2017-008-007).



**Fig. 1.** Differential expression of Lgr5 in colorectal cancer (CRC) tissues. Immunohistochemistry (IHC) analysis showing Lgr5 in CRC sections. (A) The Lgr5 protein is absent in colorectal cells (staining intensity score 0). (B, C) IHC shows increased expression of Lgr5 protein in CRC cells (scores 1 and 2). (D) Lgr5 is strongly expressed in the cytoplasm of the tumor cells (score 3). Magnification,  $\times 100$ .

### Immunohistochemistry

Tissue microarrays (TMA) were fabricated embedding FFPE CRC tissue in a recipient paraffin block using a 2-mm diameter puncher (Unitech Science, Seoul, Korea). The TMA block was sectioned at 4 μm. TMA slides were deparaffinized and hydrated with xylene and a graded series of alcohols. For antigen retrieval, the TMA slide was boiled in 0.01 M citrate buffer pH 6.0 in a pressure cooker for 15 minutes. The slides were then incubated with 3% H<sub>2</sub>O<sub>2</sub> in methanol to inhibit endogenous peroxidase activity. The sections were incubated with anti-Lgr5 antibody (1:100, Abcam, Burlingame, CA, USA) overnight at 4°C and then incubated with EnVision HRP-Labeled polymer (Dako, Carpinteria, CA, USA) as secondary antibody. The chromogenic reaction was visualized by treatment with 3,3'-diaminobenzidine (DAB) solution (Dako). Nuclei were counterstained with Harri's hematoxylin solution (Merck, Boston, MA, USA) after the TMA slides were rinsed with distilled water. TMA slides were mounted using Canada balsam (Sigma, Waltham, MA, USA). Protein expression was scored using light microscopy by two independent investigators blind to clinical data, and a consensus score was determined for each specimen. Lgr5 expression levels were evaluated with the IHC score: the percentage of positive cells (percentage score) × staining intensity (scored as 0 to 3). The slides with a final score ≤ 3 were grouped as low Lgr5 expression, whereas those with score ≥ 4 were grouped as high Lgr5 expression (Fig. 1).

### Statistical analysis

Statistical analysis was performed using SPSS version 18.0 (SPSS Inc., Chicago, IL, USA) with P < 0.05 as the threshold of statistical significance. Chi-square tests were used to analyze the association between Lgr5 expression levels and clinicopathological data. Survival curves were obtained using the Kaplan-Meier survival analysis, and a comparison between the two groups was performed using a log-rank test. Univariate and multivariate analyses of survival rates were performed using the Cox proportional hazard model.

## RESULTS

### Relationship between patient characteristics and Lgr5 expression

Stage I–IV CRC patients were all included (142 females and 195 males). Median age was 64.1 years (range, 29–89 years). According to semi-quantitative analysis of Lgr5 expression, high and low levels were observed in 162 (48.1%) and 175 patients (51.9%), respectively. The association of clinicopathological characteristics with Lgr5 expression levels is shown in Table 1. High Lgr5 expression levels showed lower recurrence rate than low expression group

(P = 0.037). However, no statistically significant association was observed with clinicopathologic factors such as age, tumor location, vascular invasion, lymphatic invasion, perineural invasion, pathological TNM stage, or differentiation.

### Multivariate and univariate survival analyses using a Cox proportional hazard model in CRC patients

Univariate analysis showed that vascular invasion (P = 0.007), lymphatic invasion (P = 0.002), perineural invasion (P < 0.001), ad-

**Table 1.** Association of Lgr5 expression with clinicopathological factors in colorectal cancer patients

Variable	Total no. of cases	Lgr5 expression (%)		P-value
		Low	High	
Total	337 (100)	175 (51.9)	162 (48.1)	
Age (yr)				0.401
< 60	120 (35.6)	66 (37.7)	54 (33.3)	
≥ 60	217 (64.4)	109 (62.3)	108 (66.7)	
Tumor location				0.861
Right	116 (34.4)	61 (34.9)	55 (34.0)	
Left	221 (65.6)	114 (65.1)	107 (66.0)	
Vascular invasion				0.561
Yes	54 (16.0)	30 (17.1)	24 (14.8)	
No	283 (84.0)	145 (82.9)	138 (85.2)	
Lymphatic invasion				0.936
Yes	95 (28.2)	49 (28.0)	46 (28.4)	
No	242 (71.8)	126 (72.0)	116 (71.6)	
Perineural invasion				0.963
Yes	114 (33.8)	59 (33.7)	55 (34.0)	
No	223 (66.2)	116 (66.3)	107 (66.0)	
T stage				0.649
T1	26 (7.7)	11 (6.8)	15 (8.6)	
T2	44 (13.1)	17 (10.5)	27 (15.4)	
T3	213 (63.2)	112 (69.1)	101 (57.7)	
T4	54 (16.0)	22 (13.6)	32 (18.3)	
N stage				0.426
N0	214 (63.5)	99 (61.1)	115 (65.7)	
N1	80 (23.7)	41 (25.3)	39 (22.3)	
N2	43 (12.8)	22 (13.6)	21 (12.0)	
Distant metastasis				0.966
Absent	316 (93.8)	152 (93.8)	164 (93.7)	
Present	21 (6.2)	10 (6.2)	11 (6.3)	
Differentiation				0.621
Well/moderate	316 (93.8)	163 (93.1)	153 (94.4)	
Poorly/undifferentiated	21 (6.2)	12 (6.9)	9 (5.6)	
Stage				0.730
I&II	205 (60.8)	108 (61.7)	97 (59.9)	
III&IV	132 (39.2)	67 (38.3)	65 (40.1)	
Recurrence				0.037
(-)	251 (74.5)	122 (69.7)	129 (79.6)	
(+)	86 (25.5)	53 (30.3)	33 (20.4)	

Values are presented as number (%).

vanced stage ( $P < 0.001$ ), and low Lgr5 expression ( $P = 0.045$ ) were independent negative prognostic factors for disease-free survival. In addition, lymphatic invasion ( $P = 0.002$ ), perineural invasion ( $P < 0.001$ ), and advanced stage ( $P = 0.001$ ) were independent prognostic factors for overall survival, whereas vascular invasion ( $P = 0.108$ ) and Lgr5 expression levels ( $P = 0.093$ ) did not show sta-

tistical significance. Age, sex, and tumor location were also not associated with survival outcomes (Table 2). We also performed multivariate analysis with significant factors in univariate analysis. Multivariate analysis showed that low Lgr5 expression was an independent prognostic factor for tumor recurrence (hazard ratio [HR], 0.601; 95% confidence interval [CI], 0.388–0.929;  $P = 0.022$ ),

**Table 2.** Univariate analysis of overall survival and disease-free survival in colorectal cancer patients

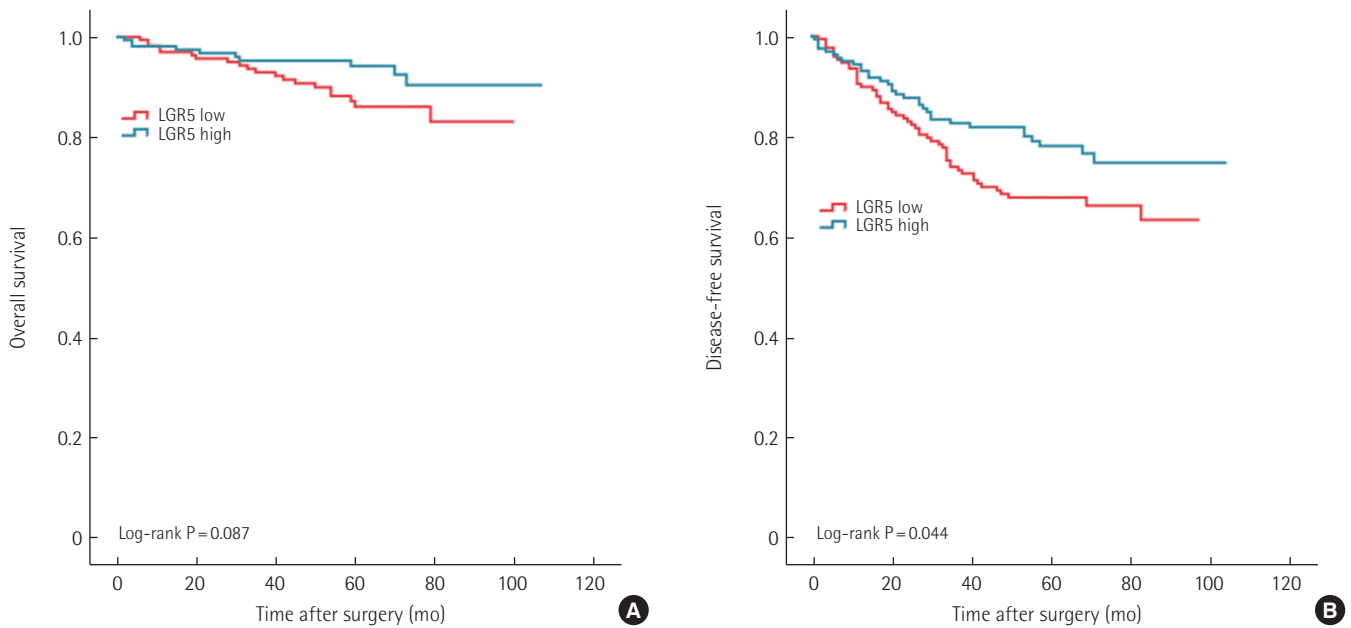
Variable	OS		DFS	
	HR (95% CI)	P-value	HR (95% CI)	P-value
Age (< 60 yr vs. ≥ 60 yr)	1.589 (0.727–3.475)	0.246	1.519 (0.985–2.408)	0.076
Sex (male vs. female)	1.212 (0.592–2.484)	0.599	1.112 (0.727–1.700)	0.625
Tumor location (right vs. left)	1.137 (0.521–2.483)	0.747	0.945 (0.607–1.473)	0.804
Vascular invasion (yes vs. no)	2.006 (0.859–4.685)	0.108	2.003 (1.214–3.306)	0.007
Lymphatic invasion (yes vs. no)	3.035 (1.483–6.214)	0.002	1.973 (1.276–3.048)	0.002
Perineural invasion (yes vs. no)	4.084 (1.938–8.606)	< 0.001	3.279 (2.135–5.035)	< 0.001
Stage (III&IV vs. I&II)	3.675 (1.718–7.861)	0.001	3.171 (2.051–4.904)	< 0.001
Lgr5 expression (high vs. low)	0.521 (0.244–1.115)	0.093	0.643 (0.417–0.994)	0.045

OS, overall survival; DFS, disease-free survival; HR, hazard ratio; CI, confidence interval.

**Table 3.** Multivariate analysis of overall survival and disease-free survival in colorectal cancer patients

Variable	OS		DFS	
	HR (95% CI)	P-value	HR (95% CI)	P-value
Vascular invasion (yes vs. no)	1.122 (0.456–2.759)	0.802	1.382 (0.806–2.372)	0.240
Lymphatic invasion (yes vs. no)	1.285 (0.527–3.131)	0.582	0.770 (0.452–1.312)	0.336
Perineural invasion (yes vs. no)	2.936 (1.326–6.499)	0.008	2.519 (1.599–3.967)	< 0.001
Stage (III&IV vs. I&II)	2.531 (1.123–5.704)	0.025	2.398 (1.513–3.802)	< 0.001
Lgr5 expression (high vs. low)	0.501 (0.234–1.073)	0.075	0.601 (0.388–0.929)	0.022

OS, overall survival; DFS, disease-free survival; HR, hazard ratio; CI, confidence interval.



**Fig. 2.** Kaplan-Meier curves for overall survival rates (A) and disease-free survival rates (B) according to Lgr5 expression (high vs. low).

but not for overall survival (HR, 0.501; 95% CI, 0.234–1.073;  $P=0.075$ ) (Table 3).

Kaplan-Meier analysis and log-rank test showed that the high Lgr5 expression group (mean of  $100.8 \pm 1.8$  months) did not show a statistically significant difference in survival rates compared with the low Lgr5 expression group (mean of  $90.3 \pm 2.0$  months,  $P=0.087$ ) (Fig. 2A). The recurrence rate was also lower in the high than in the low Lgr5 expression group ( $P=0.044$ ) (Fig. 2B).

## DISCUSSION

In 1997, CSCs were first isolated from patients with acute myeloid leukemia, and for the first time from solid tumors in patients with breast cancer [11,12]. Subsequently, CSCs have been isolated from various solid tumors such as brain tumor, pancreatic cancer, melanoma, and CRC [13-16]. CSCs are side populations of tumor cells and are well known to be the cause of tumor initiation, proliferation, and metastasis.

Barker et al. [17] showed that Lgr5 expressing in the crypt base columnar cell is a potent stem cell marker in the small intestine and colon by lineage tracing experiments in mice with knock-in Lgr5-EGFP-IRES-creERT2. The authors also demonstrated that Lgr5 expressing in colon and small intestinal stem cells (ISCs) induce intestinal neoplasia in APC-truncated mice with adenoma, showing that Lgr5 is a reliable CRC stem cell marker [9].

In various studies, Lgr5+ CSCs are mainly located at the crypt base in the developmental stage of the tumor, and then migrate upward in the crypt while showing a patched distribution in advanced cancer. In the present study, Lgr5 was observed in a similar distribution, and we found that Lgr5 levels were higher in the gland than in the stroma in advanced colon cancer. In addition, high Lgr5 expression levels were found in 48.1% of all patients, similar to what has been previously reported.

The role of Lgr5 and its clinical implications have been reported in various studies, but the issue is still controversial. In the present study, no association was found between Lgr5 expression levels and clinicopathological factors, although Lgr5 expression in survival analysis showed favorable results in recurrence. Univariate and multivariate analyses of survival also showed Lgr5 expression as an independent factor in tumor relapse. In addition, although not statistically significant, the association with the overall survival rate also tended to be favorable. Consistent with these results, de Sousa E Melo et al. [18] showed that high expression levels of Wnt-targeted genes, which comprise ASCL2, Lgr5, AXIN2, and APCDD1, are associated with a favorable prognosis in CRC patients. These results are consistent with the low expression levels of these ISC markers resulting from methylation of the CpG island

promoter, which has been reported as a predictor of tumor relapse.

Walker et al. [19] also investigated the role of Lgr5 using small interfering RNA-mediated knockdown of its gene in CRC cell lines, which resulted in loss of cell-cell adhesion, a tendency to the mesenchymal phenotype, enhanced clonogenicity, and upregulation of a variety of epithelial to mesenchymal transition (EMT) pathway genes.

Wnt, Notch, hedgehog, epidermal growth factor receptor (EGFR), and EMT are important signaling pathways in maintaining homeostasis and carcinogenesis in CRC. Among these, activation of the Wnt signaling pathway by APC or mutations in  $\beta$ -catenin is the most prominent in the adenoma-carcinoma sequence. However, Lgr5 reduces transcription of Wnt-responsive genes by inhibiting the Wnt signaling pathway. Thus, it is hypothesized that this inhibition may be related to the association between high Lgr5 expression levels and favorable prognosis.

Lgr5 expression levels also show a positive relation with Ki-67, which is expressed as a nuclear antigen in proliferating cells in the G1 to M phase transition. Melling et al. [20] reported improved survival associated with high Ki-67 expression levels in 1,653 CRC patients, and showed that Ki-67 is an independent prognostic factor for survival through multivariable Cox proportional regression. In addition, the proliferative activity of these tumors is negatively related to aggressiveness and metastasis in CRC, which indirectly suggests that high expression levels of Lgr5 may have a favorable prognosis [21].

These results, however, are in contrast to CSCs features such as resistance to chemoradiotherapy, cancer progression, or metastasis. In fact, overexpression of Lgr5 is associated with poor prognosis and other high-risk factors in CRC patients.

According to recently published meta-analysis, Lgr5 expression levels in CRC is a poor prognostic factor in survival and is associated with advanced stage [22,23]. Merlos-Suarez et al. [24] have shown that EphB2, an ISC marker, is more abundant in the intestinal crypt base than in the villus. The authors also showed that the group with high expression of EphB2-derived ISC signatures, including Lgr5 in CRC, is significantly associated with tumor recurrence. These negative correlations between Lgr5 expression levels and survival outcome was reported not only in CRC but also in other solid tumors [25,26].

In contrast, Ziskin et al. [10] reported a lack of significant association between Lgr5 expression levels and 5-year overall survival in 891 patients with CRC. These reports are inconsistent with our results, and the studies are difficult to compare directly owing to its heterogeneity in experimental conditions.

Recent studies have reported the role of Lgr5 in CRC. In an animal model using AKVPL organoid, primary tumors were not

completely removed with ablation of Lgr5+ CSCs and rapidly re-grew after cessation of treatment, whereas metastatic lesions were completely eliminated [27]. These results are consistent with other studies, and result from the plasticity of CSCs and the maintenance of the CSCs pool by Lgr5- cells in primary tumors [27-29]. Fujii and Sato [28] reported significant volume regression after induction of Lgr5+ CSCs through anti-EGFR treatment following Lgr5+ CSC ablation *in vitro*. However, the mechanisms underlying differences in treatment response between primary tumors and metastatic lesions remain unknown. Therefore, to clarify the role of Lgr5+ CSCs, how metastasis is induced in these cells and how they are affected by the tumor microenvironment need to be investigated.

Lgr5+ CSCs clearly play a critical role in colorectal carcinogenesis. Thus, Lgr5+ CSCs are assumed to be associated with clinicopathological parameters, but no association was found in our study. Therefore, it is difficult to explain the relationship between high Lgr5 expression levels and favorable outcome in this study, but overexpression of Lgr5 may be associated with other prognostic factors or signaling pathways. Some studies have reported an association between Lgr5 expression and BRAF or microsatellite instability status (not included in this study), which are prognostic factors of CRC [10,30]. Therefore, correlation analysis with various prognostic factors is needed to clarify the role of Lgr5 as a prognostic biomarker and to uncover the mechanisms underlying our results.

There are various limitations in our study. Firstly, we selected a single marker, and did not investigate various colorectal CSCs signatures. Several studies have identified CSC markers (e.g., CD133, CD44, CD166, and ALDH1), and CD133, a cell surface marker, has been shown to be an independent predictor of unfavorable prognosis. Secondly, retrospective studies and results from a single institution may be less reliable than multicenter prospective studies. Thirdly, this study may be biased in survival outcome because patients did not consider other treatment strategies or chemotherapy regimens. Finally, there is still no consensus for reference Lgr5 expression levels, and therefore different cutoff values are used in each study, consequently reaching inconsistent results.

In conclusion, our study demonstrated a positive correlation between Lgr5 overexpression and tumor recurrence in patients with CRC. We also showed that Lgr5 expression is an independent prognostic factor for recurrence. However, to clarify these results, multicenter prospective studies and well-designed functional studies will be needed. Through these studies, we expect Lgr5 to be a valuable prognostic biomarker.

## CONFLICT OF INTEREST

MJB, an editor-in-chief of *Korean Journal of Clinical Oncology*,

is the corresponding author of this article. However, he played no role whatsoever in the editorial evaluation of this article or the decision to publish it. Except for that, no potential conflict of interest relevant to this article was reported.

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